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⑥ Novel receptors: their identification, characterization, preparation and use.

⑦ Disclosed are novel hormone and hormone-like receptors wherein the trans-activation transcription domain(s) is modified in terms of position and/or copy number or otherwise versus parent receptor, such novel receptors having increased trans-activation transcription activation properties surprisingly superior to the parent receptor. Also disclosed are the recombinant methods and means for preparing such receptors and assays based upon the use of such receptors for screening and identifying putative materials that can affect such receptors and/or for the expression via induced transcription of a reporter or other desired, preferably heterologous gene or DNA product.

EP 0 371 820 A2

**NOVEL RECEPTORS: THEIR IDENTIFICATION, CHARACTERIZATION, PREPARATION AND USE**

The present invention relates to novel receptors, their identification, characterization, preparation and use.

Reference is made to Patent Cooperation Treaty International Application Publication No. WO 88/03168 and European Patent Application Publication No. 0,325,849. These published applications refer in various respects to hormone receptors and compositions thereof, and to methods for their preparation, and use, particularly in novel assay systems.

**Field of the Invention**

10 The present invention relates generally to the identification and characterization of certain polypeptide sequences that function as transcription trans-activation domains, and to their preparation and use, particularly in the preparation of novel intracellular hormone or hormone-like receptors, for example, steroid receptor polypeptides, thyroid polypeptides and retinoid polypeptides including those of the human species, 15 where advantage is provided in terms of trans-activation transcription initiation activity by augmenting the effect of said domains.

More particularly, the present invention is directed to such novel receptor polypeptides, wherein the transcription trans-activation domains have been augmented in effect so as to produce novel entities that exhibit increased transcription initiation activity surprisingly superior to the parent molecule.

20 Novel aspects relating to the preparation of such transcription trans-activation molecules, including novel DNA isolates encoding same and the transcription trans-activation domains, expression vectors operatively harboring these DNA sequences and hosts transfected with said vectors are included within the scope of this invention.

25 Most particularly, the present invention concerns the use of the novel transcription trans-activation hormone or hormone-like receptors of the present invention in assays for screening various putative materials that may have operative binding affinity for the novel hormone or hormone-like receptors hereof. In a preferred embodiment, this aspect of the invention provides an assay for screening such putative materials, for example, steroid agonists and antagonists in an enhanced, so-called trans-activation system.

30 **Background of the Invention**

The patent applications cited *supra* disclose, *inter alia*., the characterization and preparation of various hormone and hormone-like receptors, including steroid, thyroid and retinoid receptors such as those 35 represented by the glucocorticoid, mineralcorticoid, thyroid, estrogen related and retinoid classes, and specifically, the glucocorticoid, estrogen, aldosterone and retinoic acid receptors themselves. These specific receptors have been the subject of considerable research and form the particular bases for the inventions disclosed and claimed in these patent applications. Similarly, the extant, parallel scientific literature has focused on the specific receptors listed above from among the classes of receptors that exist.

40 It is known, for example, that the glucocorticoid receptor belongs to a large super-family of ligand-dependent transcription factors that have themselves diverse roles in homeostasis, growth and development. Comparison of complementary DNAs encoding these receptors, as well as mutational analyses of their coding sequences have identified certain functional domains within the molecule that are thought responsible respectively for DNA binding, hormone binding and nuclear localization. See Evans, et al., 45 *Science* 240, 889 (1988) for a review of this subject matter. In the case of the glucocorticoid receptor, the so-called DNA binding domain spans some sixty-six amino acids and is highly conserved among various species and this domain has been found to be required in order to activate transcription. See Hollenberg, et al., *Cell* 49, 39 (1987), Miesfeld, et al., *Science* 236, 423 (1987), Danielsen, et al., *Mol. Endo* 1, 815 (1987), Kumar, et al., *Cell* 51, 941 (1987), Gronemeyer, *EMBO J.* 6, 3985 (1987), and Waterman, et al., *Mol. Endo* 2, 50 14 (1988). This region has been found to contain nine invariant cysteines residues and although the contribution of each cysteine residue to overall function is unknown, as is the actual structure formed by this domain, it has been proposed that these cysteine residues coordinate two zinc ions to form two DNA binding, so-called finger domains which result in a ternary structure thought responsible for its localization and binding to the requisite DNA site. See Klug, et al., *Tr. Biochem. Sci.* 12, 464 (1987), Bens, et al., *Cell* 52, 1 (1988), and Evans, *supra*.

In a location nearer the carboxy-terminal end distal from the DNA binding region is the so-called ligand binding domain which has the demonstrated ability to block activity of the receptor in the absence of hormone. Thus, presence of the requisite hormone relieves the inhibition of the receptor to activity. Deletion of this region has been found to produce a hormone-independent transcription activator. See Godowski, et al., Nature 325, 365 (1987), Hollenberg, et al., supra, Kumar, et al., supra, Danielsen et al., supra, and Adler et al., Cell 52, 685 (1988).

In contrast to these two domains, the sequences lying towards the amino-terminal region from the DNA binding domain is poorly understood both as to structure, and particularly, function. This region is extremely variable both in size and in composition amongst the various receptors - See Evans, supra - and may contribute to the heterogeneity of receptor function. See Kumar et al., supra, and Tora et al., 333, 185 (1988).

Despite extensive analysis, some of which having been reported in the scientific literature, the region(s) that determines trans-activation of transcription initiation remains poorly characterized. Trans-activation domains can be defined as polypeptide regions that, when combined with the DNA binding functional domain, increase productive transcription initiation by RNA polymerases. See Sigler, Nature 333, 210 (1988), Brent et al., Cell 43, 729 (1985), Hope et al., Cell 46, 885 (1986), Ma et al., Cell 48, 847 (1987), Ma et al., Cell 51, 113 (1987), Lech et al., Cell 52, 179 (1988), and Hope et al., Nature 333, 635 (1988).

Previous research of the human glucocorticoid receptor by linker scanning mutagenesis identified two regions outside of the DNA binding region having a role in transcription activation. These regions were defined as  $\tau_1$  and  $\tau_2$ . Giguere et al., Cell 46, 645 (1986). Further research from these laboratories has also resulted in the report of a co-localization of trans-activation and DNA binding functions. See Hollenberg et al., supra, Miesfeld, et al., supra, Danielsen et al., supra, and Waterman et al., supra. As a composite, this research has given rise merely to an emerging picture of an increasingly modular molecule with discrete domains, each contributing to the identified properties of ligand-binding, DNA-binding and trans-activation of transcription. However, until now, the region(s) determining the trans-activation activity, was not at all well understood. Thus, the picture based upon existing research lacks an appreciation of the dynamic nature of the steroid receptors and how the various domains determine the cascade of events initiated by ligand-binding and consummated by promoter-specific trans-activation.

Further, although previous research has identified functional "domains", there has been little systematic effort to identify amino acids that contribute to the specific activities of the molecule itself. Thus, the previous identification of steroid receptor trans-activation regions resulted only from a demonstrated loss of activity via deletion or insertional mutagenesis, but in no case have the properties of the regions themselves been confirmed in assays that reflect a dominant gain of function.

Thus, Godowski et al., Science 241, 812 (1988), report results that show that the glucocorticoid receptor contains at least one "enhancement domain" other than that overlapping the glucocorticoid response element binding region and that the second domain occupies a region near the receptor amino-terminus. Similarly, Webster et al., Cell 54, 199 (1988) report on an inducible transcription activation function of the estrogen and glucocorticoid receptors, and these researchers speculate that the relative positions of the hormone regions (i.e., ligand and DNA-binding domains) are not important for the transcription induction activity of the receptor. Yet, these researchers admit that they have no definition of the exact location and nature of what they call the hormone-inducible activating domain, to say nothing of its characterization and role in trans-activating potential.

As a starting point for the present invention, Giguere et al., supra, demonstrated loss of activity in the glucocorticoid receptor based upon an assay measuring transcription activity, when random site-directed mutagenesis was performed at several locations of the molecule. As a followup, Hollenberg et al. deleted regions within the molecule, again demonstrating overall loss of transcription activity induced by such removal of stretches of amino acids.

It is an object of the present invention to identify and characterize the domain(s) responsible for trans-activation transcription activity, and the characterization of such domain(s) in respect of amino acid composition and sequence, to explore the functional interaction of the domain(s), if any, with both the DNA-binding and ligand-binding domains of a given receptor, and finally, to exploit such knowledge via the manipulation of such identified and characterized trans-activation transcription domain(s) so as to increase the overall transcription activity of the given receptor so manipulated.

The present invention thus provides novel hormone or hormone-like receptors that have been modified by advantage of knowledge of the identity and characterization of the trans-activation transcription activity domain(s), by modifications thereof so as to produce novel, heterologous receptors that have increased activity compared with the parent molecule. It is an object of the present invention to provide novel, heterologous, optionally hybrid receptors having increased trans-activation transcription activity and other-

wise having DNA-binding and ligand-binding domains that may be borrowed from various different receptors. It is a further object of the present invention to provide novel assays whereby putative receptor agonists and antagonists can be screened and evaluated for potential commercial exploitation. See also Ptashne, Nature 335, 683 (1988).

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### Summary of the Invention

The present invention is predicated upon the identification, isolation and characterization of the trans-activation transcription domains of intracellular hormone or hormone-like receptor polypeptides that has in turn enabled the discriminate characterization of the receptor itself, both in terms of physical attributes and the biological function and effect of their various domains. This information has in turn enabled the production of harnessed, recombinant systems useful for preparing the novel receptors hereof having augmented transcription activation properties.

15 It has been determined, based upon the information provided herein, that receptors contain trans-activation transcription domains that are position independent and autonomous in function. Thus, the present invention provides for novel hormone or hormone-like receptors wherein the trans-activation transcription domains are augmented in their ability to activate transcription. Such novel receptors of this invention contain trans-activation transcription domains additional to the parent molecule, positioned in a 20 manner to provide further increase in transcription activity. These novel receptors may be hybrids wherein the DNA-binding and the ligand-binding domains are provided from receptors of the same or different class and/or species.

25 The present invention is also directed to the use of such novel receptors for in vitro bio-assays for determining the functionality of a putative receptor or a putative hormone or hormone-like material. Bio-assays may take the form, for example, of challenging a novel receptor hereof with one or more of a battery of test materials that have putative hormone or hormone-like activity and that can potentially modulate the biofunction of said receptor and monitoring the effect of said material on said receptor an in vitro setting.

30 The present invention is further directed to the preparation of such novel receptors hereof via recombinant DNA technology in all relevant aspects, including a DNA molecule that is a recombinant DNA molecule or a cDNA molecule consisting of a sequence encoding said receptor or a trans-activation transcription domain thereof, and to requisite expression vectors operatively harboring such DNA comprising expression control elements operative in the recombinant host selected for the expression, and to recombinant host cells transfected with such operative expression vectors.

35 The present invention is further directed to a method for inducing the expression of DNA encoding a reporter molecule or other desired heterologous polypeptide comprising inducing transcription of the DNA encoding said polypeptide by a complex formed by a novel receptor hereof and a corresponding ligand capable of binding to said receptor, in an in vitro setting wherein said receptor and said DNA encoding said polypeptide are produced via recombinant expression in a transfected cell host system.

40 The present invention thus embraces a hormone or hormone-like receptor as a polypeptide having increased trans-activation transcription activity of a promoter with which it is associated, by virtue of its intrinsic ability to bind to a DNA sequence response element of said promoter or by its ability to associate with other polypeptide(s) that bind to said DNA sequence response element, and having trans-activation transcription activity greater than that of its corresponding parent receptor.

45 The present invention is directed to recombinant DNA technology in all aspects relating to the use of the characterization of the trans-activation transcription domain of a hormone or hormone-like receptor for DNA isolates production, including cross-hybridizable DNA isolates, devising expression vectors therefor, transfected hosts producing therewith and methods comprising a method of use utilizing such information to devise cells or cell lines harboring genetic information sufficient for such cells or cell lines to produce such receptors such that they can be used as such or in expression systems or in assays for determining the 50 activity of corresponding putative ligands.

### Detailed Description of the Invention

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#### 1. Brief Description of the Drawings

Figure 1 depicts the identity, and transcription activity, of various human glucocorticoid receptors

(hGR) entities hereof that have been modified from the parent molecule in the trans-activation transcription domains ( $\tau_{\alpha 1}$ ). Wild-type hGR (wt) and  $\tau_{\alpha 1}$  mutants are schematically represented. Functional regions are hatched ( $\tau_{\alpha 1}$ ), stippled (DNA-binding domain), or indicated by "DEX" (hormone binding domain). Numbers above each receptor define amino acid positions. Heavy vertical bars identify boundaries of an inserted 5 fragment. Relative luciferase (reporter molecule) activity was measured by MTV-LUC using  $10^{-7}$  M dexamethasone (corresponding steroid), except receptors indicated by "C" after the activity which are constitutively active.

Figure 2 sets forth the luciferase activity of various  $\tau_{\alpha 2}$  receptors hereof. The wild-type hGR is represented at the top. The  $\tau_{\alpha 2}$  region extends from amino acids 526 to 556 and is represented by a solid 10 rectangle. Replacements of the  $\tau_{\alpha 1}$  region are indicated by a solid rectangle ( $\tau_{\alpha 2}$ ) or by hatched rectangles for the amphipathic alpha helix ("aah"). Relative luciferase activities were measured by MTV-LUC in the presence of  $10^{-7}$  dexamethasone and are followed by "(C)" when hormone-independent. Asterisks indicate site of the amino acid end of truncated molecule.

Figure 3 depicts the construction of hybrid steroid receptor of glucocorticoid-thyroid hormone 15 receptors whose trans-activation transcription activity is increased by the addition of  $\tau_{\alpha 1}$  domains. A segment of the human glucocorticoid receptor cDNA encoding amino acid 77 to 262 (encoding the  $\tau_{\alpha 1}$  domain) was inserted in the rat alpha thyroid hormone receptor cDNA at a position corresponding to amino acid 21, in one or multiple copies. The parental receptor is rTR alpha with a BamHI linker inserted at the 20 unique BstEII site in the amino terminus. Constructs were transfected into CV-1 cells with TRE-CAT and CAT activity measured in the absence or presence of  $T_3$ .

Figure 4 sets forth the point mutational analysis of the hGR DNA-binding domain. The amino acid 25 sequence of the hGR DNA-binding domain is given. Each line represents information believed to be encoded by part of a separate exon. The consensus sequence (con) for the steroid hormone receptor super-family is presented below the hGR sequence, with invariant (bold), conserved(standard type) and non-conserved (dashes) amino acids indicated. Amino acids converted to lysine are topped by circles. Transcription activity of mutants assayed with MTV-CAT and compared with hGR-SV are indicated as greater than 10% (filled circles), 1% to 10% (half-filled circles), and less than 1% (open circles).

## 2. General Methods and Definitions

30 Amino acid identification uses the single- and three-letter alphabets of amino acids, i.e.:

Asp	D	Aspartic acid	Ile	I	Isoleucine
Thr	T	Threonine	Leu	L	Leucine
Ser	S	Serine	Tyr	Y	Tyrosine
Glu	E	Glutamic acid	Phe	F	Phenylalanine
Pro	P	Proline	His	H	Histidine
Gly	G	Glycine	Lys	K	Lysine
Ala	A	Alanine	Arg	R	Arginine
Cys	C	Cysteine	Trp	W	Tryptophan
Val	V	Valine	Gln	Q	Glutamine
Met	M	Methionine	Asn	N	Asparagine

45 Steroid receptors hereof are prepared 1) having methionine as the first amino acid (present by virtue of the ATG start signal codon insertion in front of the structural gene) or 2) where the methionine is intra- or extracellularly cleaved, having its ordinarily first amino acid, or 3) together with either its signal polypeptide or conjugated protein other than its conventional signal polypeptide, the signal polypeptide or a conjugate 50 being specifically cleavable in an intra- or extracellular environment. In all events, the thus produced receptor, in its various forms, is recovered and purified to a level suitable for intended use. See Supra.

The "hormone or hormone-like receptors" of this invention include the receptors specifically disclosed, for all species that cross-hybridization exists, most notably other mammalian receptors, as well as related (e.g., gene family) receptors of the same or cross-hybridizable species that are enabled by virtue of DNA 55 isolation and characterization and use via cross-hybridization techniques from said specific receptors or from identification via immuno cross-reactivity to antibodies raised to determinants in the usual manner known per se. It also includes functional equivalents of all of the above, including interspecies or intraspecies receptors wherein DNA-binding and/or ligand-binding domains are swapped with one another,

or otherwise differing in one or more amino acids from the corresponding parent, or in glycosylation and/or phosphorylation patterns, or in bounded conformational structure.

"Expression vector" includes vectors which are capable of expressing DNA sequences contained therein, where such sequences are operatively linked to other sequences capable of effecting their expression. It is implied, although not always explicitly stated, that these expression vectors may be replicable in the host organisms either as episomes or as an integral part of the chromosomal DNA. "Operative," or grammatical equivalents, means that the respective DNA sequences are operational, that is, work for their intended purposes. In sum, "expression vector" is given a functional definition, and any DNA sequence which is capable of effecting expression of a specified DNA sequence disposed therein is included in this term as it is applied to the specified sequence. In general, expression vectors of utility in recombinant DNA techniques are often in the form of "plasmids" which refer to circular double stranded DNA loops which, in their vector form, are not bound to the chromosome. In the present specification, "plasmid" and "vector" are used interchangeably as the plasmid is the most commonly used form of vector. However, the invention is intended to include such other forms of expression vectors which serve equivalent functions and which become known in the art subsequently hereto.

Apart from the novelty of the present invention involving the manipulation by means of repositioning or augmentation of the trans-activation transcription domains of a parent steroid receptor, it will be understood that the novel steroid receptors of the present invention may otherwise permissively differ from the parent steroid receptor in respect of a difference in one or more amino acids from the parent entity, insofar as such differences do not lead to a destruction in kind of the basic steroid receptor activity or biofunctionality.

"Recombinant host cells" refers to cells which have been transfected with vectors constructed using recombinant DNA techniques.

"Extrinsic support medium" includes those known or devised media that can support the cells in a growth phase or maintain them in a viable state such that they can perform their recombinantly harnessed function. See, for example, ATCC Media Handbook, Ed. Cote et al., American Type Culture Collection, Rockville, MD (1984). A growth supporting medium for mammalian cells, for example, preferably contains a serum supplement such as fetal calf serum or other supplementing component commonly used to facilitate cell growth and division such as hydrolysates of animal meat or milk, tissue or organ extracts, macerated ciots or their extracts, and so forth. Other suitable medium components include, for example, transferrin, insulin and various metals.

The vectors and methods disclosed herein are suitable for use in host cells over a wide range of prokaryotic and eukaryotic organisms.

In addition to the above discussion and the various references to existing literature teachings, reference is made to standard textbooks of molecular biology that contain definitions and methods and means for carrying out basic techniques encompassed by the present invention. See, for example, Maniatis, et al, Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory, New York, 1982 and the various references cited therein, and in particular, Colowick et al., Methods in Enzymology Vol 152, Academic Press, Inc. (1987). All of the herein cited publications are by this reference hereby expressly incorporated herein.

The foregoing description and following experimental details set forth the methodology employed initially by the present researchers in identifying and characterizing and preparing particular receptors. The art skilled will recognize that by supplying the present information including the location and makeup of the trans-activation transcriptional domain of a given receptor and how it can be manipulated to produce the novel receptors hereof, it is not necessary, or perhaps even scientifically advisable, to repeat these details in their endeavors to reproduce this work. Instead, they may choose to employ alternative, reliable and known methods, for example, they may synthesize the underlying DNA sequences encoding a particular novel receptor hereof for deployment within similar or other suitable, operative expression vectors and culture systems. Thus, in addition to supplying details actually employed, the present disclosure serves to enable reproduction of the specific receptors disclosed and others, and fragments thereof, using means within the skill of the art having benefit of the present disclosure. All of such means are included within the enablement and scope of the present invention.

### 3. Detailed Description of Particularly Preferred Embodiments

The present invention was premised upon use of the glucocorticoid receptor as a model herein for the preparation of novel modified entities thereof including hybrids of the glucocorticoid receptor with other receptors such as the thyroid receptor, particularly in the swapping of DNA-binding and ligand-binding

domains to make up such hybrids. In each case the essence of this invention, namely, the repositioning and/or augmentation of the trans-activation transcription domain(s) so as to create novel receptors whose trans-activation transcriptional activity is increased over the parent, is illustrated herein using the glucocorticoid receptor as the parent or hybrids thereof upon which comparisons were made for the novel trans-activation transcription domain modified versions.

5 It will be understood therefore that for receptors that are known in the art, whether wild-type, hybrids, or functional equivalents as set forth herein, they are suitable as starting materials for the trans-activation transcriptional domain(s) modifying aspects of the present invention.

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#### 4. Examples

The following examples detail materials and methods employed in the experimental procedures that follow:

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#### Point Mutagenesis and Transcriptional Activation

To define systematically the role of the DNA binding domain in the function of the receptor, research 20 employed extensive site-directed mutagenesis of this conserved region. By testing the role of individual amino acids this method has the potential to determine whether mutants which affect trans-activation are independent from those which influence DNA binding.

The sequence of the hGR DNA binding region is given in Figure 4, followed by the consensus 25 sequence for the steroid hormone receptor superfamily. Among members of the superfamily, this domain contains 20 invariant and 12 conserved residues. All invariant amino acids, as well as eight conserved and eight non-conserved residues, were changed to glycine. The ability to stimulate transcription from the GR-responsive MTV promoter and to complex specifically with a glucocorticoid response element (GRE) DNA fragment in vitro was measured.

The steroid-dependent enhancement of transcription from MTV-CAT for each mutant is given in Table I 30 and is indicated above each altered amino acid in Figure 4. All activities are relative to the wild type receptor hGR-SB, which yields an average induction of approximately 1000 fold. Thus, even 1% residual activity is significant. The deletion mutants listed in Table I, delta420-451 and delta450-487, remove the first and second halves of the DNA binding domain, respectively, with the break point corresponding to an exon-exon boundary within the human mineralocorticoid and chicken progesterone receptor cDNAs. Both have no 35 measurable activity indicating that neither "finger" alone is sufficient for activation.

Of the glycine point mutants, all of those which alter one of the nine invariant cysteine residues destroy 40 activity, consistent with the idea that they are critical for function. An additional, non-conserved cysteine at position 431 is not necessary for function. Surprisingly, only five of the remaining 24 glycine mutants are completely inactive. The five non-functional alleles are all invariant residues, suggesting that they are 45 involved in general aspects of DNA binding, not in determining sequence specificity. However, not all invariant residues are critical for function. For example, when aspartic acid 426 is converted to glycine there is almost no loss in activity. Seven out of eight mutants with conserved amino acids changed to glycine are functional, with half of this group retaining greater than 40% activity. Similarly, mutation of non-conserved amino acids within the DNA binding domain in no instance produces a receptor with less than 10% activity and generally causes little reduction in function. Thus, despite some significant exceptions, a good correlation exists between the conservation of an amino acid and the extent of functional loss when converted to glycine, with all invariant cysteines playing a critical role for function.

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Table 1.

Comparison of Transcriptional Activity and DNA Binding for hGR Glycine Mutants			
	hGR Mutant	Relative CAT <sup>a</sup> Activity	Relative DNA Binding <sup>b</sup> in vitro
5	hGR-SB	100	100
10	Δ420-451	<1	1
	Δ450-487	<1	1
15	G421	<1	<1
	G423	<1	<1
20	G424	<1	1
	G426	75	75
25	G431	80	10
	G432	10	30
	G433	2	1
30	G437	20	8
	G438	<1	<1
35	G441	<1	<1
	G442	<1	60
	G444	<1	1
40	G445	<1	<1
	G446	40	6
45	G447	<1	2
	G450	60	50
	G453	90	60
	G455	80	6
50	G457	<1	2
	G460	55	90
	G462	80	40
	G463	<1	1
	G465	1	1
55	G467	40	6
	G470	3	4
	G471	10	6
	G473	<1	<1
60	G474	60	95
	G476	<1	<1
	G477	<1	1
65	G479	65	40
	G480	90	50
	G481	<1	3
70	G486	10	1

a Activity was measured with MTV-CAT in CV-1 cells.

b The DNA binding assay is described elsewhere (Hollenberg et al., 1987). The value given was derived from total immunoprecipitated counts but reflects specific binding as determined by gel electrophoresis.

55 In vitro DNA Binding of Point Mutants

The CAT activity measured in the transcription assay is the sum of multiple individual functions including nuclear localization, DNA binding, dimerization and perhaps the allosteric events and protein-

protein interactions that ultimately result in activation. If more than one essential function is encoded by the DNA binding domain, some of the non-functional point mutants may still retain their ability to bind DNA but fail to activate. To explore this possibility, each mutant protein was produced by transfection of the corresponding expression vector into COS-1 cells and assayed for its ability, in crude extracts, to form a specific DNA-protein complex with radiolabeled DNA. The activity of each mutant in this immunoprecipitation DNA binding assay is given in Table I; the value shown represents specific binding of the GRE fragment. In general, there is good correspondence between the ability to activate transcription and to bind DNA in vitro. Mutants for which DNA binding activity is significantly lower than transcriptional activity, such as G446 G455, G457 and G486, may be unstable in vitro. The transcriptional activation measured for these 10 mutants is a result of specific interaction with DNA in vivo, since deletion of GREs from the MTV promoter abolishes their activity.

Only a single mutant, G442, which converts the lysine directly following the first putative finger to glycine, has lost the capacity to efficiently stimulate transcription while maintaining affinity for DNA in vitro. The specificity of binding to GREs relative to non-specific sequences is only minimally affected, indicating 15 that its dramatic loss in activity is not due to an inability to bind promoter sequences in vivo. This is an intriguing mutant because it suggests that DNA binding is not sufficient for activation. Perhaps G442 prevents a secondary event, such as an allosteric change, following the primary protein-DNA interaction. Despite this exception, these results demonstrate the cysteine-rich 66 amino acid region to embody the DNA binding domain. Indeed, although DNA binding is necessary for trans-activation this function must be 20 located in other regions of the receptor.

The G442 species has particular significance in terms of utility because it is the single species prepared that fails to result in activity but still shows evidence of substantial DNA binding. It is contemplated, for example, that an assay can be devised exploiting the property of both G442 and the I550\* species. The I550\* species lacks completely a ligand-binding domain, and as such is not responsive to the 25 presence or absence of hormone. The hormone to a specific ligand-binding site relieves the inhibition of the molecule to act as a trans-activation transcription factor. Lacking this domain means that the I550\* species will always produce activity in an assay with or without presence of steroid. On the other hand, the G442 species in the same assay will always be inactive with or without steroid. By devising an appropriate assay 30 where both receptors G442 and I550\* are present in the system, initially there will be 100% activity based upon the contribution of the I550\* species alone. As the appropriate steroid is added to the system, the activity observed will fall increasingly toward zero with time. Administration of a putative antagonist along with the appropriate steroid, if active as such, would restore the activity as the antagonist would interfere with the action of the steroid thus reducing the overall activity and a rebound in activity would be seen.

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#### GAL4/hGR Chimeras

If DNA binding and  $\tau$  functions are truly separable, the possibility exists to replace the hGR DNA binding domain with a non-receptor DNA binding domain to produce a hybrid activator protein with a new 40 promoter specificity. To test this possibility, the hGR cysteine-rich region was substituted with the first 74 amino acids of yeast GAL4. These GAL4 amino acids are sufficient for sequence-specific DNA recognition, but have no transcriptional activation capability. The ability to trans-activate lies outside the DNA binding domain and is encoded in two separate regions of the protein. Therefore, in order to produce a functional 45 transcription factor fusions between the GAL4 DNA binding domain and hGR must contain trans-activation functions contributed by the hGR.

To assay function of hGR GAL4 hybrids, a GAL4-responsive promoter, deltaMTV-GAL-CAT, was constructed from MTV-CAT. This fusion gene was rendered GR-non-responsive and GAL4-inducible by replacement of GRE sequences with a synthetic GAL4 binding site. When measuring the activation of hGR and GAL4 on this promoter, hGR cannot produce measurable stimulation from this promoter, whereas GAL4 50 can increase CAT expression about 20-fold relative to a control expression vector. This is consistent with previous reports that GAL4 can function in higher eukaryotic cells. The stimulation by GAL4 is clearly mediated through the GAL4 synthetic binding site; deletion of this site renders the promoter non-responsive to GAL4.

Fusions between the GAL4 DNA binding domain and potential hGR activation domains were examined 55 for their ability to stimulate the GAL4-responsive reporter. To demonstrate that the hGR DNA binding domain is not required for the activity of this hybrid, the GAL4 DNA binding domain was substituted for the hGR DNA binding domain to produce the hybrid GgalG. (The hGR, including amino terminus (G-), DNA binding domain (G), and carboxy terminus (G), is referred to as G-G-G, respectively. Replacement of the

hGR DNA binding domain with that of GAL4 generates G-gal-G). The resultant hormone-dependent hybrid clearly can function in the absence of the hGR DNA binding domain and actually acts as a more potent transcription factor than GAL4 in this assay system, giving a 500 fold increase in CAT activity with addition of hormone. Unexpectedly, GgalG can also stimulate delta MTV-CAT without a GAL4 binding site, but only 5 about 5% of that measured on delta MTV-GAL-CAT. The plasmid delta MTV-AT may contain a cryptic GAL4 recognition site that is revealed only with the stronger GgalG activator and not the weaker GAL4. Indeed, activation is dependent on the GAL4 DNA binding domain; GgalG is functional on deltaMTV-CAT whereas GGG is not.

The trans-activation capability of GgalG must be determined by the amino- or carboxy-terminal regions 10 of hGR, since the GAL4 DNA binding domain alone is inactive. Accordingly, each of these hGR regions was individually tested for its ability to complement the GAL4 DNA binding function. Both hybrids are functional with Ggal(delta) displaying constitutive activity while (delta)galG is fully hormone dependent. Therefore, autonomous trans-activation functions are embodied in both the N-terminal and C-terminal segments of the hGR, although subject to different constraints.

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#### Rearranged and Partially Duplicated hGR Mutants

Fusion with the GAL4 DNA binding domain demonstrated the presence of distinct trans-activation 20 properties in the hGR amino-terminal 420 amino acids and carboxy-terminal 300 amino acids. Mutants of the hGR with  $\tau_1$  duplications were assayed on the luciferase derivative of MTV-CAT, MTV-LUC. Activity values determined with the MTV-luciferase fusion gene and MTV-CAT are equivalent for previously described deletion mutants. Figure 1 shows the series of  $\tau_1$  mutant derivatives and their luciferase activity relative to wild type receptor. Absence of  $\tau_1$  reduces activity to 5%, while the tandem duplication mutant 25 GR11 acts as a "super" receptor with 310% activity. Mutants GR12, GR14, GR17 and GR18 reveal that  $\tau_1$  can function between the DNA and hormone binding regions, as well as on the amino terminal side of the DNA binding domain, giving rise in each case to a hormone-dependent activator (Figure 1). This indicates a remarkable flexibility of receptor structure. The ability of  $\tau_1$  to increase activity is independent of both the amino and carboxy termini, as shown in Figure 1 by comparison of GR13 and GR14 activities, and GR15 30 with I515\* and GR16. The  $\tau_1$  region may not account for all trans-activation ability in the amino terminus as shown by the 4-fold greater activity of GR18 relative to GR14. Also in support of this proposition, deletion of both  $\tau_1$  and the carboxy terminus in GR15 leaves 1% residual activity, a ten-fold induction, which can be abolished by deletion of the majority of the amino terminus (compare GR15 and GR26, Figure 2).

A second region with potential trans-activation character is  $\tau_2$ , located at the very amino terminal end of 35 the hormone binding domain (amino acids 526-556). This region has five negatively charged residues in a stretch of 18 amino acids and is implicated in receptor activity by the two-fold difference in activation of truncation mutants I550\* and I515\* (Figure 3). To determine whether  $\tau_2$  constitutes an activator domain it was introduced adjacent to, or in place of  $\tau_1$ . Figure 3 shows that this region acts to give a 3-fold increase in activity when introduced into the amino terminus independent of  $\tau_1$  (compare GR20 with "wt", GR21 with 40  $\Delta$ 77-262). A second copy gives a further 2-fold increase, so that a pair of  $\tau_2$  regions gives an overall increase in activity of six-fold (GR22). Therefore, like  $\tau_1$ , the position of  $\tau_2$  in the receptor is flexible, its activity is cumulative and its function can be constitutive (e.g. GR25).

Constructions similar to the  $\tau_2$  mutants GR21 and GR22 were constructed using the synthetic 45 amphipathic  $\alpha$  helix, "aah," containing 20% acidic residues and demonstrated to possess trans-activation properties in the context of yeast GAL4 (Giniger et al., *Nature* 330, 670(1987). The size and charge characteristics of the "aah" sequence are similar to the  $\tau_2$  region, which led us to explore its potential activity in the context of the hGR. Indeed, a similar increase in activity of mutants with single or multiple copies of  $\tau_2$  and aah is observed (Figure 3; compare GR21 with GR23, GR22 with GR24), suggesting that 50 these regions may perform equivalent functions. These results support and extend the notion of the modular nature of trans-activation domains.

#### Trans-activation ( $\tau$ ) Domains

55 We have defined discrete hGR trans-activation regions according to the following two criteria: deletion decreases activity and duplication increases it. By these standards two regions of the receptor, of 200 and 30 amino acids, encode trans-activation functions. The localization of these two regions does not exclude a role for additional activator sequences within the hGR. Examination of the primary sequences of  $\tau_1$  and  $\tau_2$

fails to reveal any obvious homology with the exception that both regions have acidic character. This property is noteworthy because activation domains in the yeast transcription factors GAL4 and GCN4, although lacking obvious sequence identity, are rich in acidic residues. This apparent similarity does not demonstrate that any of the identified activator regions in either GAL4, GCN4, or the glucocorticoid receptor 5 are functioning through a common mechanism, although this seems likely. The potential for a common mechanism is further supported by the observation that the synthetic amphipathic  $\alpha$  helix ("aah") sequence can functionally replace  $\tau_2$  and to some extent  $\tau_1$ . The lack of obvious sequence and size relatedness of  $\tau_1$ , and  $\tau_2$  and the yeast activation sequences leads to the view that trans-activation functions might be embodied by the net context of negatively-charged residues on the surface of the DNA-bound protein.

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### hGR Modularity

The modular nature of the hGR has emerged not only from primary sequence comparisons within the 15 steroid receptor superfamily, but also by the ability to exchange functional domains to create novel chimeric activators. The DNA-binding domain of the hGR has been replaced with that of the human estrogen receptor (Green et al., Nature 325,75 and Chambon, (1987) and with the unrelated DNA-binding domain from GAL4. Its position is not critical since it can function at the amino terminus. In addition, the DNA-binding domain can be placed amino or carboxy-terminal to both  $\tau_1$  and  $\tau_2$  without compromising function 20 of these domains. Consistent with modular properties, the position of  $\tau_1$  and  $\tau_2$  is not critical and their multimerization leads to increased receptor function. Thus, we have been able to generate receptors with activity of up to four times that of the wild-type receptor or with altered DNA binding specificity. Hybrid receptors are still hormonally inducible indicating a non-specific mechanism whereby the hormone binding 25 domain imposes a ligand-dependent effect on the rest of the molecule. Experimental detail and discussion follows:

### Plasmid and Point Mutant Construction

30 Plasmid hGR-SB was generated by recombination at the Clal site between linker scanning mutants I532 and I403S (Giguere et al., Cell 46, 645 (1986)). I403S was derived from I403 by introduction of the SstI adaptor 5' GATCGAGCTCGC 3' into the BamHI site. This hGR derivative is parent to all point mutants and is indistinguishable from wild-type receptor in DNA binding and transcriptional activation. To convert the desired codon of hGR-SB to one encoding glycine, the SstI/BamHI, 400-nucleotide fragment from plasmid 35 hGR-SB was first introduced into M13mp18 to yield single-stranded template. Synthetic oligonucleotides of 13-15 bases were then used to change the desired codon to GGN by standard techniques followed by re-introduction of the altered SstI/BamHI fragment into hGR-SB. Deletions  $\Delta$ 420-451 and  $\Delta$ 450-487 were generated with longer oligonucleotides (20mers); amino acid positions given define non-deleted residues at the deletion junction. Mutant sequences were directly determined from double-stranded plasmid DNA using 40 alkaline denaturation (Hattori et al., Anal.Biochem 152, 232 (1986)) followed by chain termination of synthetic hGR primers.

Reporter plasmid MTV-CAT and its deletion derivative  $\Delta$ MTV-CAT were generated as follows. The HindIII site of pBLCAT2 (Luckow et al., Nucl.Acids Res. 15, 5490 (1987)) was first destroyed to generate pTKCAT-H. The HSV-thymidine-kinase promoter of pTKCAT-H was then excised by digestion with 45 BamHI/BglII and replaced with the BamHI, MTV-LTR fragment of pMTV-TK (Kuhnel et al., J.Mol.Biol. 190, 367 (1986)), pLS-190-181 or pLS-96-88 (Buetti et al., J.Mol.Biol. 190, 379 (1986)) to generate MTV-CAT, -190-181 MTV-CAT, or -96-88 MTV-CAT, respectively.  $\Delta$ MTV-CAT was constructed from -190-181-and -90-88 MTV-CAT by recombination between the HindIII sites of these mutants.  $\Delta$ MTV-CAT was converted to MTV-GAL-CAT by introduction of the synthetic GAL4 binding site "17MX" (Webster et al., Cell 52, 169 (1988)) into the unique HindIII site. Plasmid MTV-LUC was constructed by conversion of the HindIII site of pSVOA/L-A  $\Delta$ 5' (de Wet et al., Mol.Cell.Biol. 7, 725 (1987)) to Xhol and introduction of luciferase coding and SV40 polyadenylation sequences from this derivative (generated by BamHI digestion, Klenow polymerase I end-filling and Xhol digestion) into Xhol/SmaI-digested MTV-CAT.

55 GgalG was derived from pG525 (Laughon et al., Mol.Cell.Biol. 4, 260(1984)). Primer-directed mutagenesis was used to introduce NotI and Xhol sites at coding-sequence nucleotides -10 to -3 and +223 to +228, respectively. The GAL4 DNA binding domain was excised from this derivative by digestion with NotI and inserted into pRShGR<sub>MX</sub> (Giguere et al., Nature 330, 624(1987)) in place of the endogenous DNA binding domain. Ggal $\Delta$  and  $\Delta$ gal $\Delta$  were produced by digestion of GgalG and  $\Delta$ galG, respectively, with

Xhol, followed by end-filling and ligation.  $\Delta$ GalG was constructed by introduction of a synthetic oligonucleotide duplex ( $\Delta$ AN, 5' GTACCACCATGGGGC 3') containing a consensus ribosome binding site (Kozak, Nucl.Acid.Res. 12, 857 (1984)), in place of the amino-terminal-coding, Asp718/NotI fragment of GgalG.

5 Generation of mutants  $\Delta$ 77-262, I515\* and  $\Delta$ 9-385 has been described by Hollenberg et al., Cell 49, 39, (1987).  $\tau_1$  mutants were constructed using the BgIII/BamHI fragment from I262 (Giguere et al., Cell 46, 645 (1986)), encoding amino acids 77-262 of the hGR. This fragment was inserted into the BamHI sites of hGR linker scanning mutants I262 and I515 to generate GR11 and GR12, respectively. GR13 was derived from pRShGR<sub>Mx</sub> by replacement of the amino terminal coding sequences with the  $\Delta$  AN adaptor described above. GR17 was created by insertion of the amino terminal coding BamHI fragment, generated by recombination between linker scanning mutants I9 and I384 (Giguere et al., supra), into the BamHI site of I515. Mutant I550\* was produced by recombination between the BamHI sites of mutants I550 and I696, thus shifting the reading frame after amino acid 550. This mutant incorrectly described as 8532-697 in a previous report (Hollenberg et al., supra). To create  $\tau_2$  derivatives, an excisable  $\tau_2$  coding-fragment was generated 10 by conversion of hGR nucleotides 1704-1709 and 1800-1805 (Hollenberg et al., Nature 318, 635 (1985)) to 15 BamHI and BGIII sites, respectively, using oligonucleotide-directed mutagenesis. The sequence encoding  $\tau_2$  was then introduced into the BamHI site of I262 to produce GR20 or in place of the BgIII/BamHI fragment of I262 to generate GR21. GR23 was created by replacement of the BgIII/BamHI fragment of I262 with a 20 synthetic oligonucleotide duplex (5' GATCT GGAAT TACAA GAGCT GCAGG AACTA CAAGC ATTGT TACAA CAGCA AGAG 3') encoding the "aah" sequence (Giniger and Ptashne, 1987). Mutants with tandem copies of this sequence and  $\tau_2$  (GR24 and GR22) were generated by standard techniques (Rosenfeld and Kelly, 1986). Double mutant derivatives of constructions described above were generated by recombination at the Clal site: GR14 from GR12 and GR13; GR15 from  $\Delta$  77-262 and I515\*; GR16 from GR11 and I515\*; 25 GR18 from GR13 and GR17; GR25 from GR22 and I515\*; GR26 from  $\Delta$  9-385 and I515\*.

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#### Immunoprecipitation DNA Binding

DNA binding was measured as described previously (Hollenberg et al., supra). Mutant receptor, 30 obtained in a crude COS-1 cell extract after transfection, was incubated with a mixture of radiolabeled DNA fragments, one of which contained GREs. Receptor-DNA complexes were immunoprecipitated with receptor-specific antiserum and Staph A, freed of protein, counted Cerenkov, and then electrophoresed through a denaturing polyacryamide gel to verify specific binding. Total immunoprecipitated counts were 35 compared. The presence of mutant hGR protein in each COS-1 cell extract was confirmed by Western blot analysis.

#### Transfection and Luciferase Assays

40 Transfection of CV-1 and COS-1 cells was as described previously (Giguere et al., and Hollenberg et al., supra) using 5 micrograms of each plasmid per 10 cm dish. Luciferase assays were performed as described (de Wet et al., supra).

#### 45 Cell Culture and Transfection

Conditions for growth and transfection of CV-1 (African green monkey kidney) cells were as previously 50 described (Giguere et al., Cell 46, 645 (1986)), except that the calcium phosphate precipitate was left on the cells for 4-8 hours, at which time the media was changed to DMEM with 5% T<sub>3</sub> free bovine serum minus or plus 10<sup>-7</sup> M T<sub>3</sub> (Sigma). Cells were harvested 36 hours after the addition of T<sub>3</sub>, and CAT assays were 55 performed as described (Gorman et al., Mol.Cell.Biol. 2, 1044 (1982); Hollenberg et al., Cell 49, 39 (1987)). Typically, 5  $\mu$ g reporter and 1  $\mu$ g expression vector were cotransfected, along with 2.5  $\mu$ g RSV- $\beta$ gal as a control for transfection efficiency. Acetylated and non-acetylated forms of [<sup>14</sup>C]chloramphenicol were separated by thin layer chromatography, excised, and quantitated by liquid scintillation counting in Econofluor (DuPont) with 5% DMSO.  $\beta$ -galactosidase assays were performed as described (Herbomel et al., Cell 39, 653 (1984)). CAT activity is expressed as percent conversion divided by  $\beta$ -galactosidase activity.

## Construction of Reporter and Expression Plasmids

Synthetic oligonucleotides corresponding to -169 to -200 of the rat growth hormone gene or a palindromic TRE (TCAGGGTCATGACCTGA) (Glass et al., *Cell* 54, 313 (1988)) were inserted into a linker scanning mutant of MTV-CAT that has a Hind III site at position -190/-181 (Bueti et al., *J.Mol.Biol.* 190, 379 (1986)) or -190/-88 MTV-CAT, which has a Hind III site replacing the nucleotides between -88 and -190. Expression vectors were constructed for the thyroid hormone receptors by inserting the full-length cDNAs of pheA12 (Weinberger et al., *Nature* 324, 641 (1986)) and rbeA12 (Thompson et al., *Science* 237, 1610 (1987)) between the KpnI and BamHI sites of the pRS vector (Giguere et al., *Cell* 46, 645 (1986) and *Nature* 330, 124 (1987)).

## Construction of Chimeric Receptors.

The construction of hGR<sub>MX</sub> has been described (Giguere, *Nature* *supra*). To construct hTR<sub>MX</sub>, the cDNA insert of phe A12 (Weinberger, *Nature*, *supra*) was subcloned between the KpnI and BamHI sites of M13mp19 and mutagenized by the method of Kunkel, *PNAS* 82, 488 (1985). The oligonucleotide used to create the NotI site changed three amino acids: Asp97 to Arg, Lys98 to Pro, Asp99 to Pro. The oligonucleotide used to create the Xhol site changed two amino acids: Thr171 to Leu, Asp172 to Gly. The mutant receptor cDNA was then transferred to the expression vector pRS (Giguere, *Cell*, *Nature* *supra*); hybrids were constructed by exchanging KpnI-NotI, KpnI-Xhol or NotI-Xhol restriction fragments between RShGR<sub>MX</sub> and RShTR<sub>MX</sub>. RShGR<sub>MX</sub> has about 75% of wild-type activity, and RShTR<sub>MX</sub> has about 60% of wild-type activity. For the addition of  $\tau_1$  to rTR $\alpha$ , the unique BstEII site at amino acid 21 was changed to a BamHI site by inserting an oligonucleotide adaptor that encoded a BamHI site flanked by BstEII ends. This allowed the in frame insertion of a BamHI-BgIII fragment encoding amino acids 77-262 of the hGR into this site.  $\Delta$ TT and  $\Delta$ GG were constructed by deleting the Asp718-NotI fragment of RShTR<sub>MX</sub> and RShGR<sub>MX</sub> respectively and replacing it with an oligonucleotide adaptor of a consensus ribosome binding site (Kozak *Nucl.Acids Res.* 12, 857 (1984)).

Amino acids 77 to 262 of the hGR, called  $\tau_1$ , were inserted in frame after amino acid 21 of rTR $\alpha$  in one or multiple copies and the resulting hybrid receptors assayed for trans-activation. Table 1 shows that addition of one  $\tau_1$  domain increased activity by at least four-fold, while the presence of multiple such domains further increased activity. This is consistent with the modular nature of this domain, and demonstrates that the activity of thyroid hormone receptors can be augmented by the addition of a trans-activation domain from a different receptor.

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Table 1.

Activity of thyroid hormone receptor/ $\tau_1$ hybrids.		
Receptor	# of $\tau_1$ domains	Relative CAT Activity <sup>a</sup>
RShGR	1	0
RSrTR $\alpha$ -BM +	0	100
RSrTR-T $\tau_1$ -1	1	1430
RSrTR-T $\tau_1$ -2	2	1140
RSrTR-T $\tau_1$ -3	3	1960
RSrTR-T $\tau_1$ -4	4	820

<sup>a</sup>CAT activity is relative to the induced activity of RSrTR-BM +, which is the rat alpha thyroid hormone receptor with the BstEII site at amino acid 21 changed to a BamHI site.

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In certain experiments where the amount of receptor expression vector is increased from 1 $\mu$ g to 5 $\mu$ g, relative CAT activity was shown to increase several-fold.

The foregoing description details specific methods that can be employed to practice the present

invention. Having detailed specific methods initially used to identify, isolate, characterize, prepare and use the receptors hereof, and a further disclosure as to specific entities, and sequences thereof, the art skilled will well enough know how to devise alternative reliable methods for arriving at the same information and for extending this information to other intraspecies and interspecies related receptors. Thus, however detailed 5 the foregoing may appear in text, it should not be construed as limiting the overall scope hereof; rather, the ambit of the present invention is to be governed only by the lawful construction of the appended claims.

### Claims

- 10 1. An assay for screening and identifying materials having a putative potential of binding to a hormone or hormone-like receptor comprising the steps of:
  - (a) providing a hormone or hormone-like receptor species in a form having improved trans-activation transcription activity,
  - (b) challenging the form of receptor species with one or more of a battery of test materials having putative potential of binding to a hormone or hormone-like receptor,
  - (c) monitoring the effect of the test material by measuring the amount of transcription induced by the receptor species, and
  - (d) selecting candidates from the battery of test materials capable of having an effect on the trans-activation transcription activity of said receptor species.
- 15 2. An assay as claimed in Claim 1 further comprising the additional step following step (d), comprising:
  - (e) employing the candidate in the preparation of a composition containing the candidate as an essential component, the composition being useful to impart its biofunction properties on a corresponding receptor when it is contacted in vivo with the receptor.
- 20 3. An assay as claimed in Claim 2 further comprising the additional step following step (e), comprising:
  - (f) contacting the composition with a human subject.
- 25 4. A hormone or hormone-like receptor as a polypeptide having increased trans-activation transcription activity of a promoter with which it is associated, by virtue of its intrinsic ability to bind to a DNA sequence response element of the promoter or by its ability to associate with other polypeptide that bind to the DNA
- 30 5. A receptor as claimed in Claim 4 wherein the receptor contains a plurality of trans-activation transcription domains thereof, of a functional fragment thereof, located within the molecule in a location outside of its DNA-binding and ligand-binding domains.
- 35 6. A receptor as claimed in Claim 4 wherein the trans-activation transcription domain is the  $\tau_1$  domain.
7. A receptor as claimed in Claim 6 wherein the receptor contains two of the  $\tau_1$  domains.
- 40 8. A receptor as claimed in Claim 7 wherein the second of the  $\tau_1$  domains is located adjacent to the first.
9. A receptor as claimed in Claim 7 wherein the second of the  $\tau_1$  domains is located in a C-terminal distal location from the first  $\tau_1$  sequence.
- 45 10. A receptor as claimed in any one of Claims 4 to 9 lacking a ligand-binding domain.
11. A species of a receptor as claimed in Claim 4 which is human G442 glucocorticoid receptor.
12. A hormone or hormone-like receptor having trans-activation transcription domains additional to that of the parent receptor, autonomous with both the DNA-binding and ligand-binding domains of the parent receptor, the additional trans-activation transcription domains being located in a position outside of each of the DNA-binding and ligand-binding domains, if present, and having a DNA-binding biofunctionality.
- 50 13. A DNA molecule that is a recombinant DNA molecule or a cDNA molecule encoding a receptor as claimed in Claim 12.
14. An expression vector operatively harboring DNA encoding a receptor as claimed in Claim 13.
- 55 15. A recombinant host cell transfected with an expression vector as claimed in Claim 14.
16. A cell culture comprising cells as claimed in claim 15 and an extrinsic support medium assuring the viability of the cells.
17. A process of preparing a human receptor as claimed in Claim 12 which comprises expressing in a recombinant host cell transfecting DNA encoding the receptor.
18. A process which comprises recovering and purifying a receptor as claimed in Claim 12 to a form having quality and quantity sufficient to enable its use in assays that enable measurement of extrinsically induced biofunctionality of the receptor.
- 55 19. In a bioassay for determining the functionality of a hormone or hormone-like receptor, the bioassay

comprising:

- (a) transfecting into receptor negative cells one or more expression vectors harboring an operative hormone responsive promoter/enhancer element functionally linked to an operative reporter or other desired DNA and an operative DNA sequence encoding the receptor,
- 5 (b) culturing the transfected cells from step (a) in the presence or absence of extrinsic material having the putative ability to activate the hormone responsive promoter/enhancer element,
- (c) monitoring in the cells induction of the product of the reporter or desired DNA sequence, and
- (d) measuring in the cells the expression of reporter or other desired DNA,

the improvement wherein the hormone or hormone-like receptor is one having increased trans-activation transcription activity compared with the parent receptor, the activity additional to the parent receptor by virtue of domains, or functional fragments thereof, located within the molecule at a position outside of each of a DNA-binding and ligand-binding domain of said receptor.

Claims for the following Contracting States: GR, ES

15 1. An assay for screening and identifying materials having a putative potential of binding to a hormone or hormone-like receptor comprising the steps of:

- (a) providing a hormone or hormone-like receptor species in a form having improved trans-activation transcription activity,
- 20 (b) challenging the form of receptor species with one or more of a battery of test materials having putative potential of binding to a hormone or hormone-like receptor,
- (c) monitoring the effect of the test material by measuring the amount of transcription induced by the receptor species, and
- (d) selecting candidates from the battery of test materials capable of having an effect on the trans-activation transcription activity of said receptor species.

25 2. An assay as claimed in Claim 1 further comprising the additional step following step (d), comprising:

- (e) employing the candidate in the preparation of a composition containing the candidate as an essential component, the composition being useful to impart its biofunction properties on a corresponding receptor when it is contacted in vivo with the receptor.

30 3. An assay as claimed in Claim 2 further comprising the additional step following step (e), comprising:

- (f) contacting the composition with a human subject.

35 4. A process of preparing a human receptor having trans-activation transcription domains additional to that of the parent receptor, autonomous with both the DNA-binding and ligand-binding domains of the parent receptor, the additional trans-activation transcription domains being located in a position outside of each of the DNA-binding and ligand-binding domains, if present, and having a DNA-binding biofunctionality.

40 5. A process which comprises recovering and purifying a receptor having trans-activation transcription domains additional to that of the parent receptor, autonomous with both the DNA-binding and ligand-binding domains of the parent receptor, the additional trans-activation transcription domains being located in a position outside of each of the DNA-binding and ligand-binding domains, if present, and having a DNA-binding biofunctionality to a form having quality and quantity sufficient to enable its use in assays that enable measurement of extrinsically induced biofunctionality of the receptor.

45 6. In a bioassay for determining the functionality of a hormone or hormone-like receptor, the bioassay comprising:

- (a) transfecting into receptor negative cells one or more expression vectors harboring an operative hormone responsive promoter/enhancer element functionally linked to an operative reporter or other desired DNA and an operative DNA sequence encoding the receptor,
- (b) culturing the transfected cells from step (a) in the presence or absence of extrinsic material having the putative ability to activate the hormone responsive promoter/enhancer element,
- (c) monitoring in the cells induction of the product of the reporter or desired DNA sequence, and
- 50 (d) measuring in the cells the expression of reporter or other desired DNA,

the improvement wherein the hormone or hormone-like receptor is one having increased trans-activation transcription activity compared with the parent receptor, the activity additional to the parent receptor by virtue of domains, or functional fragments thereof, located within the molecule at a position outside of each of a DNA-binding and ligand-binding domain of said receptor.

FIG. 1

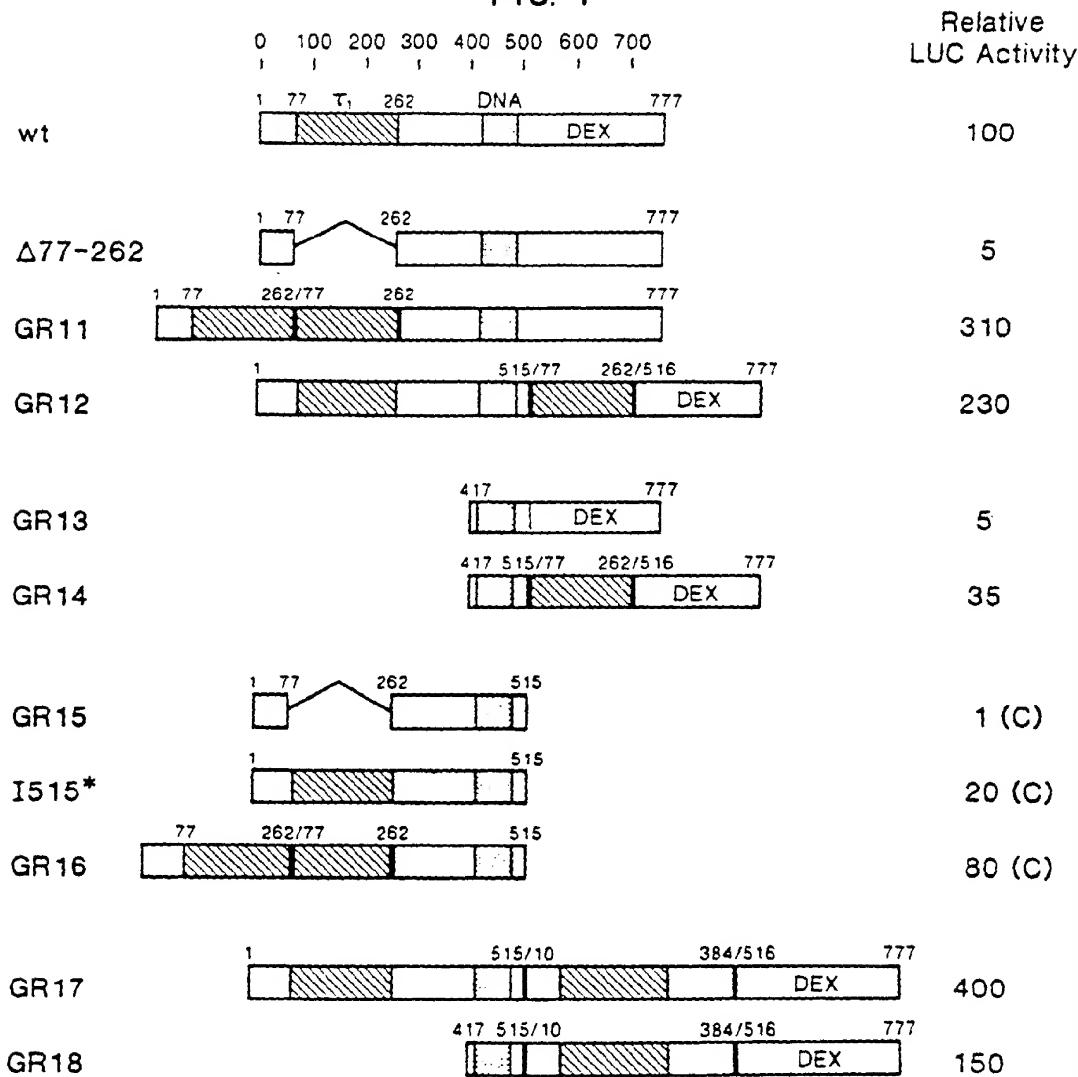


FIG. 2

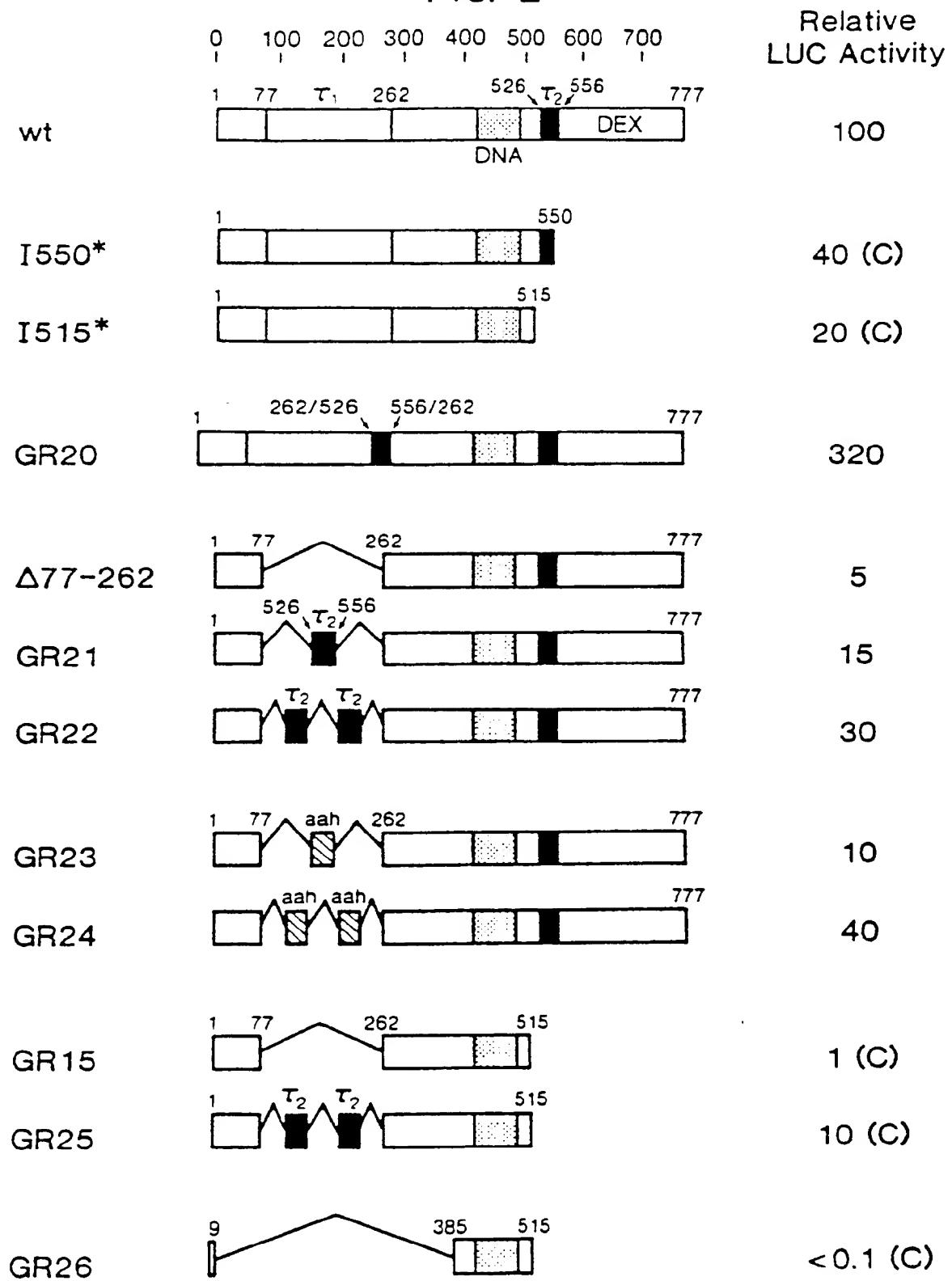
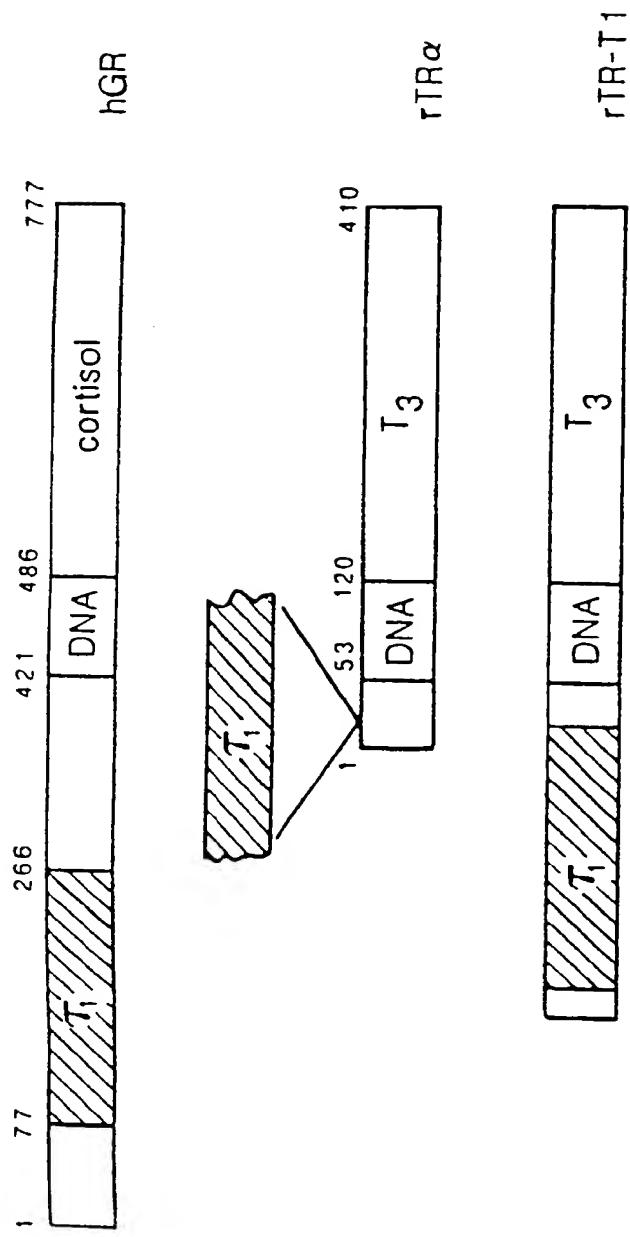
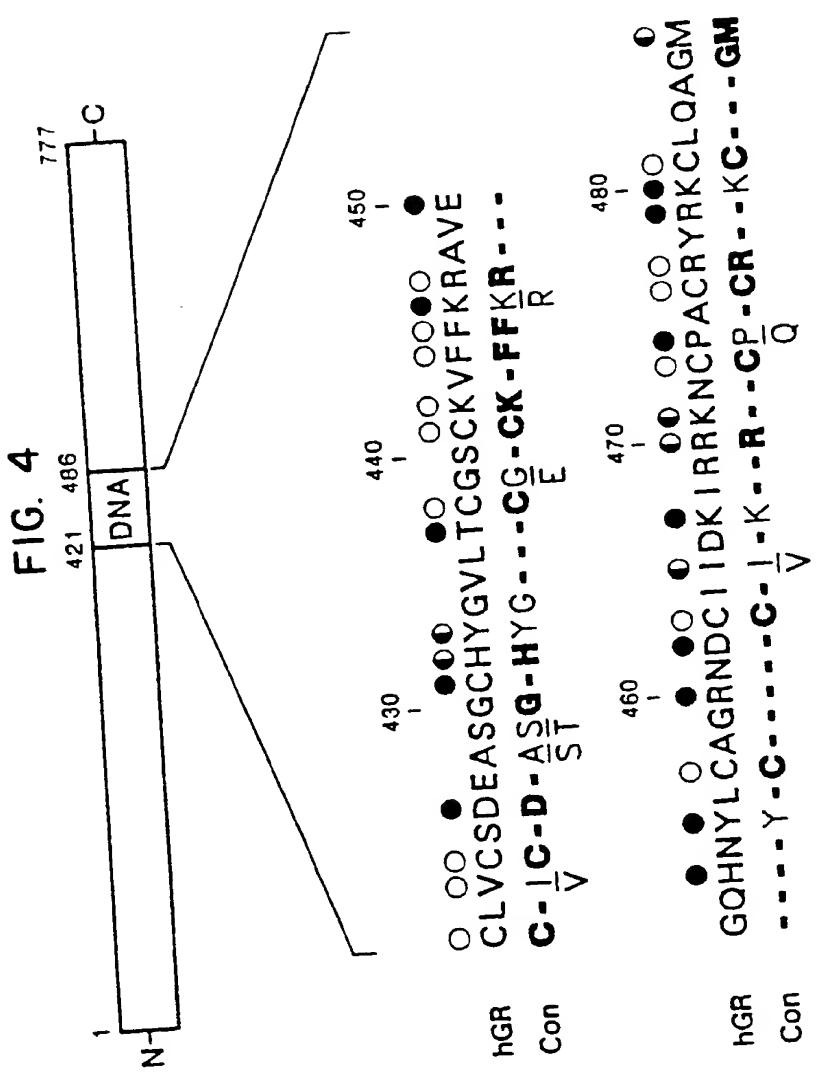
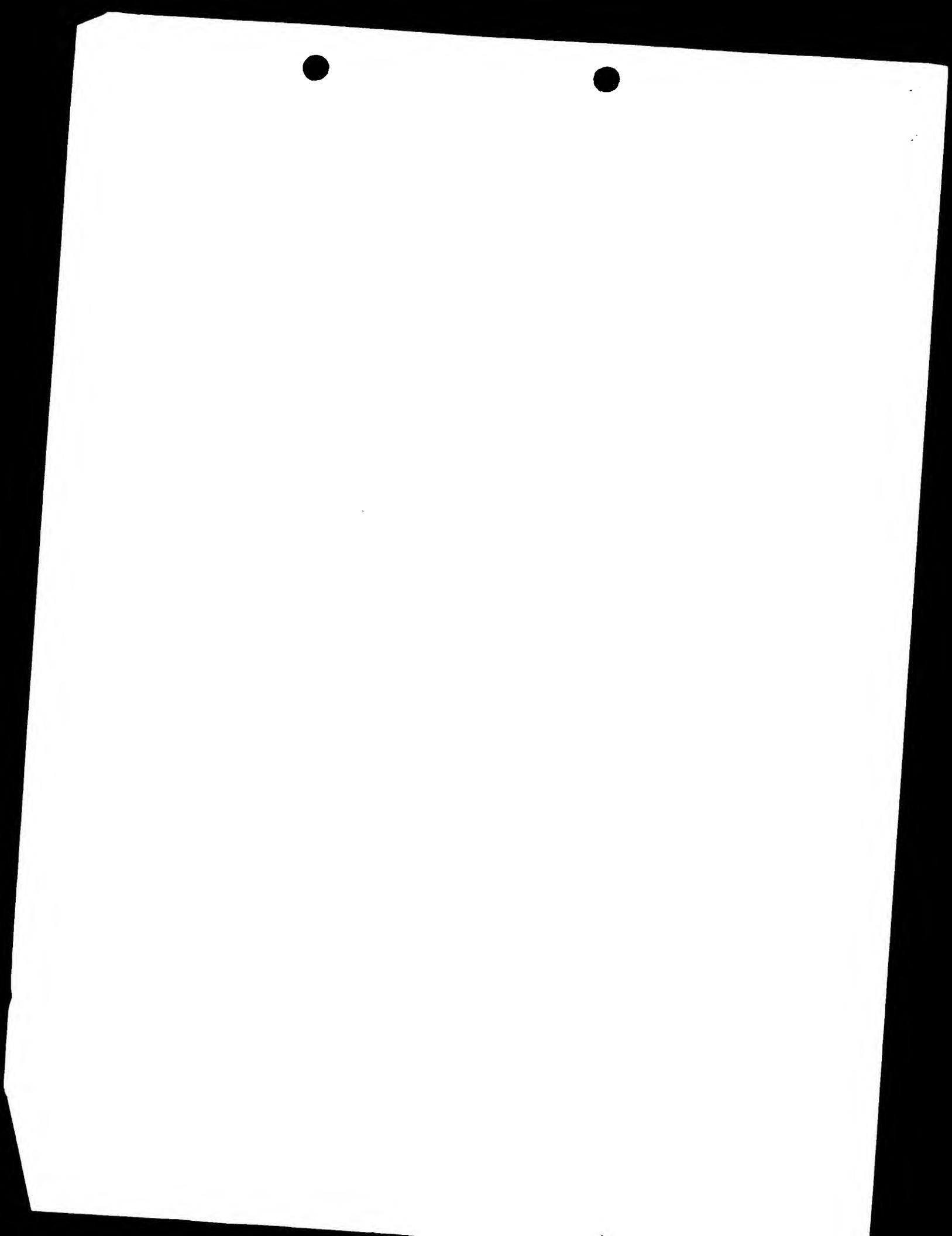


FIG. 3









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0 371 820 A3

⑫

## EUROPEAN PATENT APPLICATION

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⑳ Novel receptors: their identification, characterization, preparation and use.

㉑ Disclosed are novel hormone and hormone-like receptors wherein the trans-activation transcription domain(s) is modified in terms of position and/or copy number or otherwise versus parent receptor, such novel receptors having increased trans-activation transcription activation properties surprisingly superior to the parent receptor. Also disclosed are the recombinant methods and means for preparing such receptors and assays based upon the use of such receptors for screening and identifying putative materials that can affect such receptors and/or for the expression via induced transcription of a reporter or other desired, preferably heterologous gene or DNA product.

EP 0 371 820 A3



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PARTIAL EUROPEAN SEARCH REPORT

which under Rule 45 of the European Patent Convention shall be considered, for the purposes of subsequent proceedings, as the European search report

**Application number**

EP 89 31 2525

#### DOCUMENTS CONSIDERED TO BE RELEVANT

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 4)
D, X	WO-A-88 03 168 (THE SALK INSTITUTE FOR BIOLOGICAL STUDIES)  * Page 20, line 30 - page 22, line 7; page 33, line 1 - page 36, line 29; pages 70-78 *	1,19	C 12 N 15/12 C 12 P 21/02 C 07 K 13/00 G 01 N 33/74 C 12 Q 1/68
D, A	--	4-18	
D, X	SCIENCE, vol. 240, 13th May 1988, pages 889-895, Lancaster, PA, US; R.M. EVANS: "The steroid and thyroid hormone receptor superfamily"	1,19	
	* Page 891, left-hand column, paragraphs 1-2; page 892, right-hand column, paragraph 1; page 894, left-hand column, paragraph 2 *		
D, A	--	4-18	TECHNICAL FIELDS SEARCHED (Int. Cl. 4)
		. / .	C 12 N C 12 P C 07 K

**INCOMPLETE SEARCH**

The Search Division considers that the present European patent application does not comply with the provisions of the European Patent Convention to such an extent that it is not possible to carry out a meaningful search into the state of the art on the basis of some of the claims.

Claims searched completely: 1, 2, 4-19

**Claims searched incompletely:**

Claims not searched: 3

**Reason for the limitation of the search:**

Method for treatment of the human or animal body by surgery or therapy (See art. 52(4) of the European Patent Convention)

TECHNICAL FIELDS  
SEARCHED (Int. Cl. 4)

12  
12  
07  
12  
01

Place of search	Date of completion of the search	Examiner
THE HAGUE	21-05-1991	ANDRES
CATEGORY OF CITED DOCUMENTS		
X : particularly relevant if taken alone	T : theory or principle underlying the invention	
Y : particularly relevant if combined with another document of the same category	E : earlier patent document, but published on, or after the filing date	
A : technological background	D : document cited in the application	
O : non-written disclosure	L : document cited for other reasons	
P : intermediate document	& : member of the same patent family, corresponding document	



DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int. Cl. 4)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	TECHNICAL FIELDS SEARCHED (Int. Cl. 4)
P, X	CELL, vol. 55, 2nd December 1988, pages 899-906, Cell Press, Cambridge, MA, US; S.M. HOLLENBERG et al.: "Multiple and cooperative trans-activation domains of the human glucocorticoid receptor"  * Whole article *  --	1, 4-19	
D, P, X	EP-A-0 325 849. (THE SALK INSTITUTE FOR BIOLOGICAL STUDIES)  * Whole document *	1, 19	TECHNICAL FIELDS SEARCHED (Int. Cl. 4)
D, P, A		4-18	
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D, A	CELL, vol. 46, 29th August 1986, pages 645-652, Cell Press, Cambridge, MA, US; V. GIGUÈRE et al.: "Functional domains of the human glucocorticoid receptor"  * Whole article *  --	1, 4-19	
A	CELL, vol. 49, 10th April 1987, pages 39-46, Cell Press, Cambridge, MA, US; S.M. HOLLENBERG et al.: "Colocalization of DNA-binding and transcriptional activation functions in the human glucocorticoid receptor"  * Whole article *  --	4-18	
A	NATURE, vol. 334, 7th July 1988, pages 31-36, London, GB; D. METZGER et al.: "The human oestrogen receptor functions in yeast"	. / .	



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-3-

DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int. Cl. 4)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
A	<p>* Whole article *</p> <p>--</p> <p>CELL, vol. 52, 29th January 1988, pages 161-167, Cell Press, Cambridge, MA, US; H. KAKIDANI et al.: "GAL4 activates gene expression in mammalian cells"</p> <p>* Whole article *</p> <p>-----</p>		
			TECHNICAL FIELDS SEARCHED (Int. Cl. 4)